MARK DAYTON
GOVERNOR

Executive Order 15-14

Directing Implementation of the Minnesota Continuity of Government Plan

I, Mark Dayton, Governor of the State of Minnesota, by virtue of the authority vested in me by the Constitution and applicable statutes, do hereby issue this Executive Order:

Whereas, emergencies and disasters can interrupt government operations and hinder the ability of the State to deliver critical priority services to the people of Minnesota;

Whereas, the State of Minnesota must be prepared to sustain and restore government and government services in a timely and effective manner;

Whereas, Continuity of Government (COG) addresses the succession of governmental leadership and the survivability of state-level constitutional and democratic government, including providing for state essential functions, which are the overarching responsibilities of the leaders of the state during and following a crisis to ensure the well-being of the state;

Whereas, Continuity of Operations (COOP) addresses the sustainment of critical priority services, which are the limited set of organization-level government functions that must be continued or resumed quickly after a disruption of normal activities; and

Whereas, It is the policy of the State of Minnesota to maintain comprehensive and effective COG and COOP plans that provide the framework for the continuation of state essential functions under all circumstances, as well as the continuation of critical priority services during any incident, emergency, or disaster that may disrupt typical, normal operations.

Now, Therefore, I hereby order that:

1. Each department, independent division, bureau, board, commission, and independent institution of the state government, from this point forward collectively referred to as
"agency," shall carry out the COG, COOP, and other preparedness responsibilities described in this executive order. The head of each agency is accountable for the execution of the responsibilities described in this executive order and shall commit the resources necessary for the development and maintenance of continuity plans and preparations.

2. The Department of Public Safety is designated the lead executive branch agency for continuity planning and program coordination.

3. The Department of Public Safety shall direct state government response during emergencies impacting state agencies or facilities.

4. The Continuity Policy Coordination Sub-Cabinet is established consisting of the Commissioner, or Commissioner’s designees, of the following State Agencies:

   A. Department of Public Safety;
   B. Department of Administration;
   C. Minnesota Management and Budget; and
   D. Office of MN.IT Services.

   The Commissioner of the Department of Public Safety shall serve as chair, and may designate additional members, as necessary.

5. The purpose of the Continuity Policy Coordination Sub-Cabinet is to develop and maintain a framework for the state COG plan and oversee agency COOP planning. The elements of the state COG plan will include:

   A. Orders of succession for government operations;
   B. Alternate facilities for the constitutional officers and senior leaders of the legislature and judiciary;
   C. Lines of communications for the constitutional officers and senior leaders of the legislature and judiciary;
   D. Continuation of state essential functions; and
   E. Review and update of current laws, orders, and policies related to continuity of government operations.

6. The Commissioner of the Department of Public Safety shall designate a state continuity planning coordinator.
7. The state continuity planning coordinator shall work with the Continuity Policy Coordination Sub-Cabinet to coordinate state agency continuity planning. The state continuity planning coordinator shall develop and manage the state COG plan and oversee agency COOP planning.

8. The Department of Public Safety will provide administrative support including, but not limited to, personnel necessary to perform the duties and responsibilities of the sub-cabinet. Participating agencies and entities shall provide any administrative support requested by the sub-cabinet.

9. Minnesota Management and Budget shall:

   A. Manage the state's critical priority service continuation program as it relates to the work force and emergency paid leave authorization during state agency office closures;

   B. Assist state agencies in identifying and maintaining a list of mission critical personnel needed to assure continuity of critical priority services; and

   C. Coordinate the reassignment of state employees between agencies during emergencies.

10. The Office of MN.IT Services shall:

    A. Have overall responsibility for the state's enterprise information technology continuity program;

    B. Plan for the continuation and recovery of the state's technology infrastructure and information services; and

    C. Support and maintain a state continuity planning system, as designated by the sub-cabinet.

11. The Department of Administration shall have overall responsibility for coordinating alternate facilities for use by state agencies and will coordinate the contracting for facilities.

12. Agencies will designate a continuity coordinator who is charged with the development of COOP plans and support of the state COG plan. Agencies shall submit those plans annually to the Department of Public Safety for review.

13. Each agency shall plan to support the state COG plan in a manner and form prescribed by the Department of Public Safety. Continuity plans will be consistent with federal continuity directives and guidance, as well as guidance adopted by the state, to the extent possible and practicable. Agency COOP plans shall address:

    A. Critical priority services;

    B. Orders of succession;

    C. Delegations of authority;
D. Alternate facilities;
E. Continuity communications;
F. Essential records management;
G. Human resources considerations;
H. Plan training, exercising, and evaluation;
I. Reconstitution;
J. Devolution; and
K. Continuity requirements for third parties providing or supporting state essential functions and critical priority services.

14. Each agency shall provide agency-specific requirements for alternate facilities in a manner and form prescribed by the Department of Administration.

This executive order shall be distributed to all members of the Governor’s cabinet, and the Commissioner of the Department of Public Safety shall ensure the provisions of this order are implemented.

Pursuant to Minnesota Statutes, section 4.035, subdivision 2, this executive order will be effective fifteen (15) days after publication in the State Register and filing with the Secretary of State and will remain in effect, in accordance with Minnesota Statutes, section 4.035, subdivision 3.

In Testimony Whereof, I have set my hand on this 13th day of July, 2015.

Mark Dayton
Governor

Filed According to Law:

Steve Simon
Secretary of State