

University of Minnesota

Capital Appropriations Expenditure Report

February 2005

Total Allocation Status

Funding Summary: Total Allocation

| Year | Total Allocation | Percent Spent or Encumbered |
|------|------------------|--------------------------------|
| 1998 | \$138.3 million | 100 % |
| 2000 | \$100.2 million | 100 % |
| 2002 | \$111.9 million | 100 % |
| 2003 | \$48.7 million | 86 % |

Definitions

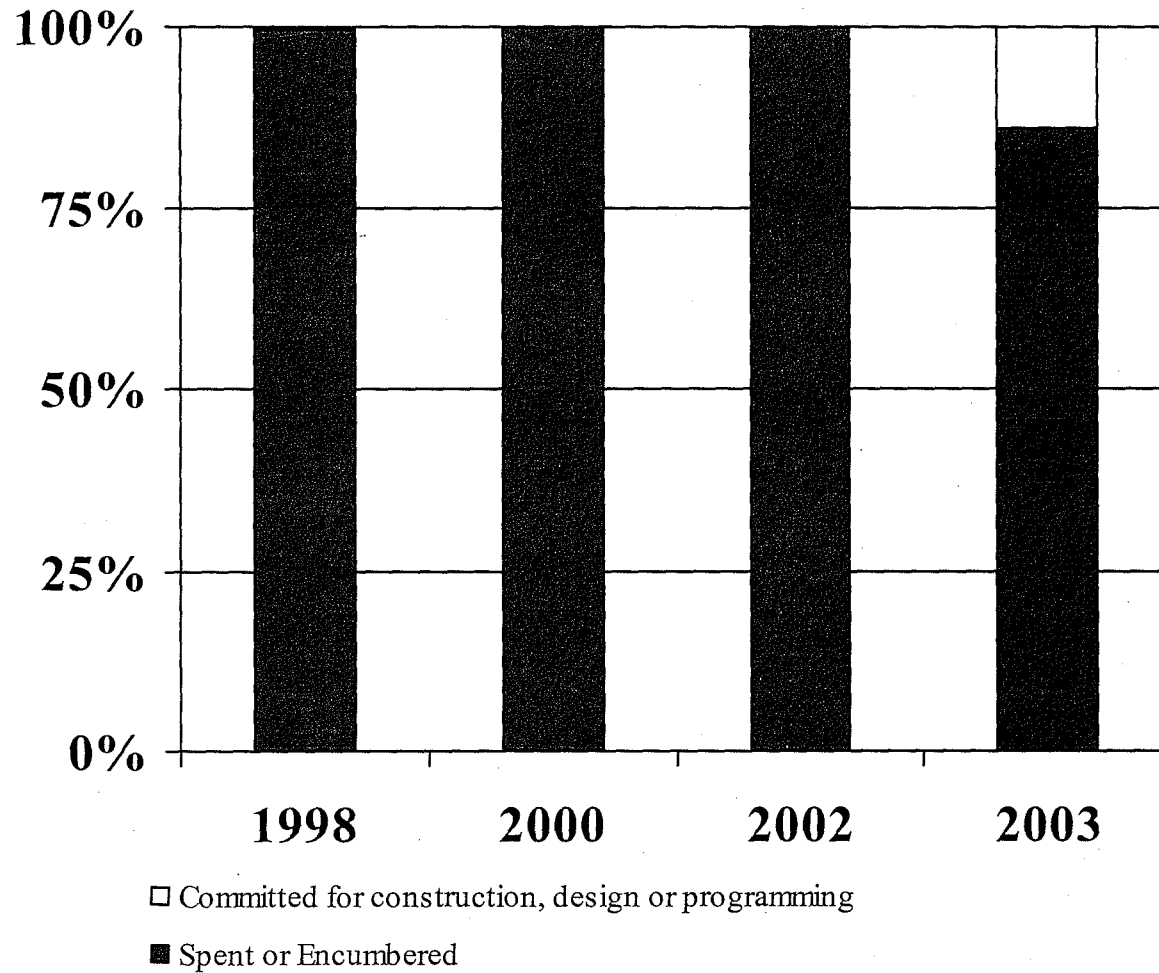
Allocation: The Legislative appropriation for each project. Although HEAPR funds are appropriated in a block of funds, they are detailed in this report by the University's allocation.

Spent: The amount the University has paid to contractors from signed contracts.

Encumbered: This includes three categories.

- a. Project amount specifically under contract with a general contractor, architect, engineer, or other vendor.
- b. Known/budgeted cost required to complete a project under contract for construction.
- c. Internal project where work has begun and/or internal purchase order/work has been completed.

Total Allocation Status



HEAPR Allocation Status

Funding Summary: HEAPR Allocation

| Year | HEAPR Allocation | Percent Spent or Encumbered |
|------|------------------|--------------------------------|
| 1998 | \$4.0 million | 100 % |
| 2000 | \$9.0 million | 100 % |
| 2002 | \$35.0 million | 100 % |
| 2003 | -- | -- |

Definitions

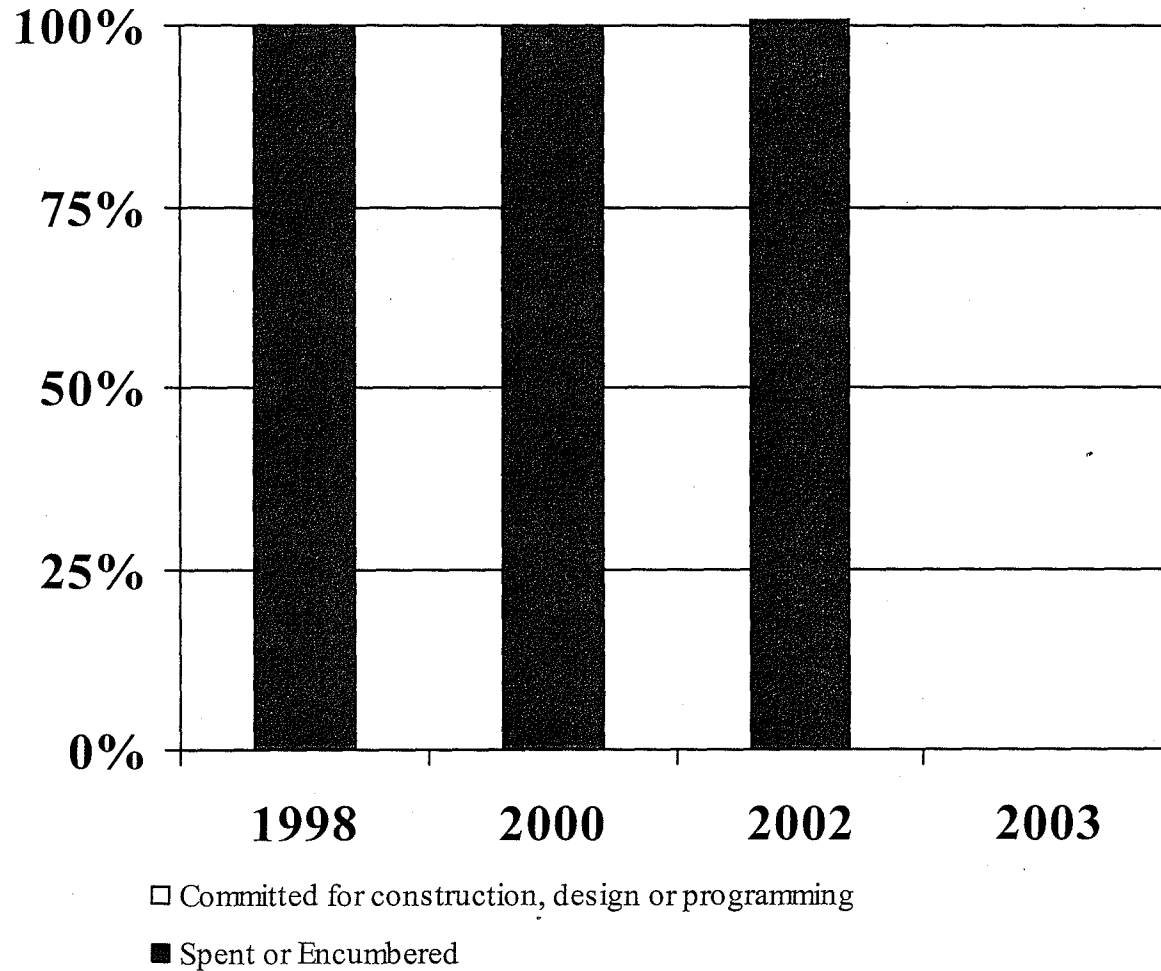
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HEAPR Allocation Status



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Status Report: Total Capital Appropriations 1998 to 2003
 (As of 12/31/04) Definitions on last page

| (A) Project Name | (B) Appropriation Amount | (C) Spent | (D) Encumbered | (E) (B-C-D) Allotted but Uncommitted | (F) Status | (G) Estimated Occupancy |
|--|--------------------------------|-------------------|-------------------|--|--------------------|-------------------------------|
| 2003 State Capital Appropriations: Major Projects | | | | | | |
| JONES HALL RENOVATION | 8,000,000 | 2,124,665 | 5,875,335 | 0 | Construction | 12/01/05 |
| LIONS TRANSLATIONAL RESEARCH ADDTN | 24,700,000 | 20,456,148 | 4,243,852 | 0 | Construction | 07/06/05 |
| TEACHING & TECHNOLOGY CTR(PREDESIGN) | 3,000,000 | 328,806 | 2,671,194 | 0 | Pre-Design | Unknown |
| VET DIAGNOSTIC LAB RENOVATION | 1,500,000 | 1,299,551 | 153,745 | 46,704 | Substantially Comp | Occupied |
| NWROC RES LAB & OFFICE | 959,000 | 909,029 | 49,971 | 0 | Construction | 08/30/04 |
| NCROC FOREST GENETICS LAB ADDTN | 399,000 | 239,533 | 159,467 | 0 | Construction | 09/15/04 |
| SROC ADMIN BLDG ADDTN | 1,080,000 | 627,489 | 452,511 | 0 | Construction | 01/01/05 |
| WCROC SWINE RES IMPR | 70,000 | 50,380 | 19,620 | 0 | Construction | 12/30/04 |
| Subtotal - Research & Outreach | 2,512,000 | 1,826,431 | 685,569 | 0 | | |
| GENOMICS BUILDING-ROCHESTER | 400,000 | 0 | 0 | 400,000 | Programming | Unknown |
| UMM SOC SCI BLDG RENOVATION | 8,000,000 | 1,087,196 | 708,761 | 6,204,043 | Construction Doc | 08/01/05 |
| UMM GAY HALL PH II FIRE PROTECTION | 600,000 | 600,000 | 0 | 0 | Substantially Comp | Occupied |
| Subtotal - UMM | 8,600,000 | 1,687,196 | 708,761 | 6,204,043 | | |
| Total - 2003 Appropriations | 48,712,000 | 27,722,797 | 14,338,456 | 6,650,747 | | |

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Status Report: Total Capital Appropriations 1998 to 2003
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| (A) Project Name | (B) Appropriation Amount | (C) Spent | (D) Encumbered | (E) (B-C-D) Allotted but Uncommitted | (F) Status | (G) Estimated Occupancy |
|--|--------------------------------|--------------|-------------------|--|--------------------|-------------------------------|
| 2002 State Capital Appropriations: Major Projects | | | | | | |
| NICHOLSON HALL RENOVATION | 24,000,000 | 4,651,077 | 19,348,923 | 0 | Construction | 12/01/05 |
| PLANT GROWTH FACILITIES - PH II | 17,700,000 | 16,818,018 | 881,983 | 0 | Construction | 12/15/04 |
| UMD NEW LAB SCI BUILDING | 25,500,000 | 25,500,000 | 0 | 0 | Construction | 01/01/05 |
| UMC BEDE HALL RENOVATION | 7,701,000 | 4,641,032 | 3,059,968 | 0 | Construction | 06/03/05 |
| Completed Classroom Impr Projects | 1,840,000 | 1,840,000 | 0 | 0 | | |
| UMC CLASSROOM IMPROVEMENTS | 60,000 | 56,308 | 3,692 | 0 | Substantially Comp | 03/31/05 |
| UMM FY03 CLASSROOM IMPROVEMENTS | 100,000 | 98,151 | 1,849 | 0 | Substantially Comp | 01/31/05 |
| Subtotal - Classroom Improvements | 2,000,000 | 1,994,459 | 5,541 | 0 | | |
| Subtotals - '02 Appropriations | 76,901,000 | 53,604,586 | 23,296,414 | 0 | | |
| '02 HEAPR Projects | | | | | | |
| Completed Projects | 9,727,125 | 9,727,125 | 0 | 0 | | |
| SOC SCI SPRINKLER ALARM UPGRADE | 2,700,000 | 2,451,865 | 248,135 | 0 | Construction | 07/15/04 |
| ST PAUL CHILLED WATER PLANT | 20,839,267 | 11,880,573 | 8,958,694 | 0 | Construction | 05/01/05 |
| CE INSTALL GROUND WATER WELLS | 411,210 | 381,107 | 30,103 | 0 | Construction | 04/01/05 |
| HUMPHREY MUSEUM ROOF/PLANTER | 495,521 | 482,394 | 13,127 | 0 | Substantially Comp | Occupied |
| ANDERSON HALL BRIDGE DECK REPAIR | 445,000 | 384,294 | 60,706 | 0 | Construction | 11/10/04 |
| CIV ENG HYDRAULIC POWER SUPPLY | 50,000 | 34,367 | 15,633 | 0 | Construction | 12/01/04 |
| UMC SAHLSTROM HALL REROOF | 250,000 | 208,358 | 41,642 | 0 | Construction | Occupied |
| UMC FIRE ALARM UPGRADES | 81,877 | 26,960 | 54,917 | 0 | Construction | 04/01/05 |
| Subtotals - '02 HEAPR | 35,000,000 | 25,577,044 | 9,422,956 | 0 | | |
| Total - 2002 Appropriations | 111,901,000 | 79,181,630 | 32,719,370 | 0 | | |
| 2000 State Capital Appropriations: Major Projects | | | | | | |
| Completed Projects | 78,750,000 | 78,750,000 | 0 | 0 | | |
| PLANT GROWTH FACILITIES-PHASE I | 5,963,000 | 5,944,870 | 18,130 | 0 | Substantially Comp | Occupied |
| UMC KIEHLE BUILDING RENOV | 6,500,000 | 6,437,629 | 62,371 | 0 | Substantially Comp | Occupied |
| Subtotals - '00 Appropriations | 91,213,000 | 91,132,499 | 80,501 | 0 | | |
| '00 HEAPR Projects | | | | | | |
| Completed Projects | 9,000,000 | 9,000,000 | 0 | 0 | | |
| Subtotals - '00 HEAPR | 9,000,000 | 9,000,000 | (0) | 0 | | |
| Total - 2000 Appropriations | 100,213,000 | 100,132,499 | 80,501 | 0 | | |
| 1998 State Capital Appropriations: Major Projects | | | | | | |
| Completed Projects | 133,610,000 | 133,610,000 | 0 | 0 | | |
| FOLWELL HALL RENOVATION | 690,000 | 353,393 | 336,607 | 0 | Schematic Design | On Hold |

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Status Report: Total Capital Appropriations 1998 to 2003
 (As of 12/31/04) Definitions on last page

| (A) Project Name | (B) Appropriation Amount | (C) Spent | (D) Encumbered | (E) (B-C-D) Allotted but Uncommitted | (F) Status | (G) Estimated Occupancy |
|--------------------------------|--------------------------------|--------------------|-------------------|--|---------------|-------------------------------|
| Subtotals - '98 Appropriations | 134,300,000 | 133,963,393 | 336,607 | 0 | | |
| '98 HEAPR Projects | | | | | | |
| <i>Completed Projects</i> | 4,000,000 | 4,000,000 | 0 | 0 | | |
| Subtotals - '98 HEAPR | 4,000,000 | 4,000,000 | 0 | 0 | | |
| Total - 1998 Appropriations | 138,300,000 | 137,963,392 | 336,607 | 0 | | |
| Grand Totals | 399,126,000 | 345,000,319 | 47,474,934 | 6,650,747 | | |

NOTE: All capital appropriations prior to 1998 have been expended or returned to the State.

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Status Report: Total Capital Appropriations 1998 to 2003
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| (A) Project Name | (B) Appropriation Amount | (C) Spent | (D) Encumbered | (E) (B-C-D) Allotted but Uncommitted | (F) Status | (G) Estimated Occupancy |
|---------------------|--------------------------------|--------------|-------------------|--|---------------|-------------------------------|
|---------------------|--------------------------------|--------------|-------------------|--|---------------|-------------------------------|

Notes:

1) Definitions of columns:

- B. Appropriation Amount: The Legislative appropriation for each project. Although HEAPR funds are appropriated in a block of funds, they are detailed in this report by the University's allocation.
- C. Spent: The amount the University has paid to contractors from signed contracts.
- D. Encumbered: This includes three categories.
 - a. Project amount specifically under contract with a general contractor, architect, engineer, or other vendor.
 - b. Known/budgeted cost required to complete a project under contract for construction.
 - c. Internal project where work has begun and/or internal purchase order/work has been completed.
- E. Uncommitted: The balance of the appropriation, which has been allotted to a specific project, but has not been spent or encumbered.

2) Definitions of project phases:

- a. Programming: Defining in detail the scope of the project, describing the facility components required to accommodate the academic/operational program and establishing the functional and physical relationships of those components.
- b. Schematic Design: Evaluating alternatives for meeting the project program and establishing the general size, shape, and massing of building elements; exterior finishes; and design criteria for structural, mechanical, and electrical systems.
- c. Design Development: Developing the preliminary design into a detailed design that establishes final floor plans, building elevations, interior and exterior room finishes, building systems, furnishings, and equipment.
- d. Construction Documents: Preparing detailed drawings and specifications required to obtain bids and to describe and direct the construction work.
- e. Bidding: Soliciting bids from contractors for completing the work described in the construction documents.
- f. Construction: Mobilizing of the contractor's equipment, purchasing of building materials, and implementing the work described in the construction documents.
- g. Substantial Completion: Completing work on the project to a point that the Owner can occupy and use the facility for its intended use.
- h. Pcloseout: Making final payments to contractors and vendors, closing all contracts, and preparing the final project accounting.

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| (A) Project Name | (B) Appropriation Amount | (C) Spent | (D) Encumbered | (E) (B-C-D) Allotted but Uncommitted | (F) Status | (G) Estimated Occupancy |
|---|--------------------------------|--------------------|-------------------|--|---------------|-------------------------------|
| Summary of University State Capital Appropriations | | | | | | |
| 2003 Appropriations | | | | | | |
| HEAPR Projects | 0 | 0 | 0 | 0 | | |
| Major Projects | 48,712,000 | 27,722,797 | 14,338,456 | 6,650,747 | | |
| Subtotal | 48,712,000 | 27,722,797 | 14,338,456 | 6,650,747 | | |
| 2002 Appropriations | | | | | | |
| HEAPR Projects | 35,000,000 | 25,577,044 | 9,422,956 | 0 | | |
| Major Projects | 76,901,000 | 53,604,586 | 23,296,414 | 0 | | |
| Subtotal | 111,901,000 | 79,181,630 | 32,719,370 | 0 | | |
| 2000 Appropriations | | | | | | |
| HEAPR Projects | 9,000,000 | 9,000,000 | (0) | 0 | | |
| Major Projects | 91,213,000 | 91,132,499 | 80,501 | 0 | | |
| Subtotal | 100,213,000 | 100,132,499 | 80,501 | 0 | | |
| 1998 Appropriations | | | | | | |
| HEAPR Projects | 4,000,000 | 4,000,000 | 0 | 0 | | |
| Major Projects | 134,300,000 | 133,963,393 | 336,607 | 0 | | |
| Subtotal | 138,300,000 | 137,963,392 | 336,607 | 0 | | |
| Grand Total: 1998-2003 Appropriations | 399,126,000 | 345,000,319 | 47,474,934 | 6,650,747 | | |
| HEAPR Projects Only | 48,000,000 | 38,577,044 | 9,422,956 | 0 | | |
| 2003 Appropriations | | | | | | |
| HEAPR Projects | 0 | 0.0% | 0.0% | 0.0% | | |
| Major Projects | 48,712,000 | 56.9% | 29.4% | 13.7% | | |
| Subtotal | 48,712,000 | 56.9% | 29.4% | 13.7% | | |
| 2002 Appropriations | | | | | | |
| HEAPR Projects | 35,000,000 | 73.1% | 26.9% | 0.0% | | |
| Major Projects | 76,901,000 | 69.7% | 30.3% | 0.0% | | |
| Subtotal | 111,901,000 | 70.8% | 29.2% | 0.0% | | |
| 2000 Appropriations | | | | | | |
| HEAPR Projects | 9,000,000 | 100.0% | 0.0% | 0.0% | | |
| Major Projects | 91,213,000 | 99.9% | 0.1% | 0.0% | | |
| Subtotal | 100,213,000 | 99.9% | 0.1% | 0.0% | | |
| 1998 Appropriations | | | | | | |
| HEAPR Projects | 4,000,000 | 100.0% | 0.0% | 0.0% | | |
| Major Projects | 134,300,000 | 99.7% | 0.3% | 0.0% | | |
| Subtotal | 138,300,000 | 99.8% | 0.2% | 0.0% | | |
| Grand Total: 1998-2003 Appropriations | 399,126,000 | 86.4% | 11.9% | 1.7% | | |
| HEAPR Projects Only | 48,000,000 | 80.4% | 19.6% | 0.0% | | |