

UNIVERSITY OF MINNESOTA

*Office of Vice President and
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Office of the President

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05 - 0321

April 5, 2005

To: Representative Dan Dorman, Chair, House Capital Investment Committee
Representative Bud Nornes, Chair, House Higher Education Finance Committee
Representative Jim Knoblach, Chair, House Ways and Means Committee
Senator Keith Langseth, Chair, Senate Capital Investment Committee
Senator Sandra Pappas, Chair, Senate Higher Education Finance Committee
Senator Richard Cohen, Chair, Senate Finance Committee
Peggy Ingison, Commissioner, Minnesota Department of Finance
Legislative Reference Library

From: Richard Pfutzenreuter, CFO and Treasurer
Kathleen O'Brien, Vice President

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Re: Contingency Account Report

As required by Minnesota Law, 2003 Special Session, Chapter 20, Art. 1 Sec. 2, Subd. 8, I am forwarding to you a report on how the money in the contingency account has been allocated or spent.

If you have any specific questions, please call Brian Swanson at 612-625-6665.

2002 and 2003 State Contingency Spending Report
(As of 02/28/05)

(A) Project Name	(B) Contingency Appropriation Amount	(C) Spent	(D) Encumbered	(E) (B-C-D) Allotted but Uncommitted	(F) Project Status	(G) Estimated Occupancy	(H) Notes
2003 State Contingencies							
JONES HALL RENOVATION(CONTINGENCY)	478,000	0	478,000	0	Construction	12/01/05	Committed to award base contract
LIONS TRANSLATIONAL RES(CONTINGENCY)	1,600,000	0	0	1,600,000	Construction	07/06/05	Final use to be determined
VET DIAGNOSTIC LAB REN(CONTINGENCY)	90,000	0	0	90,000	Substantially Comp	08/01/04	Final use to be determined
NWROC RES LAB & OFFICE(CONTINGENCY)	58,000	8,509	49,491	0	Construction	08/30/04	Committed to award base contract
NCROC FOREST GENETICS(CONTINGENCY)	24,000	0	0	24,000	Construction	09/15/04	Anticipated for project completion
SROC ADMIN BLDG ADDTN(CONTINGENCY)	65,000	0	0	65,000	Construction	01/25/05	Anticipated for project completion
WCROC SWINE RES IMPR(CONTINGENCY)	4,000	0	0	4,000	Construction	12/30/04	Anticipated for project completion
UMM SOC SCI BLDG (CONTINGENCY)	505,000	0	0	505,000	Construction Doc	08/01/06	Anticipated for project completion
Total - 2003 State Contingencies	2,824,000	8,509	527,491	2,288,000			
2002 State Contingencies							
NICHOLSON HALL RENOV (CONTINGENCY)	1,170,000	0	0	1,170,000	Construction	12/01/05	Final use to be determined
PLANT GROWTH - PH II (CONTINGENCY)	700,000	112,106	514,937	72,957	Construction	12/15/04	Committed to award base contract
UMD NEW LAB SCI BUILDING(CONTINGENCY)	1,000,000	1,000,000	0	0	Construction	01/01/05	Committed to award base contract
UMC BEDE HALL RENOV (CONTINGENCY)	435,000	0	0	435,000	Construction	07/08/05	Anticipated for project completion
BLEGEN CLSSRM UPGR(CONTINGENCY)	170,000	170,000	0	0	Substantially Comp	08/15/03	Committed to award base contract
Total - 2002 State Contingencies	3,475,000	1,282,106	514,937	1,677,957			

1) Definitions of columns:

- B. Appropriation Amount: The Legislative appropriation for each project. Although HEAPR funds are appropriated in a block of funds, they are detailed in this report by the University's allocation.
- C. Spent: The amount the University has paid to contractors from signed contracts.
- D. Encumbered: This includes three categories.
 - a. Project amount specifically under contract with a general contractor, architect, engineer, or other vendor.
- E. Allotted but Uncommitted: The balance of the appropriation, which has been allotted to a specific project, but has not been spent or encumbered.

2) Definitions of project phases:

- a. Programming: Defining in detail the scope of the project, describing the facility components required to accommodate the academic/operational program, and establishing the functional and physical relationships of those components.
- b. Schematic Design: Evaluating alternatives for meeting the project program and establishing the general size, shape, and massing of building elements; exterior finishes; and design criteria for structural, mechanical, and electrical systems.
- c. Design Development: Developing the preliminary design into a detailed design that establishes final floor plans, building elevations, interior and exterior materials, room finishes, building systems, furnishings, and equipment.
- d. Construction Documents: Preparing detailed drawings and specifications required to obtain bids and to describe and direct the construction work.
- e. Bidding: Soliciting bids from contractors for completing the work described in the construction documents.
- f. Construction: Mobilizing of the contractor's equipment, purchasing of building materials, and implementing the work described in the construction documents.
- g. Substantial Completion: Completing work on the project to a point that the Owner can occupy and use the facility for its intended use.
- h. Pcloseout: Making final payments to contractors and vendors, closing all contracts, and preparing the final project accounting.